

COOL-01600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: )  
Thomas Kenny et al. )  
Serial No.: 10/643,684 )  
Filed: August 18, 2003 )  
For: **APPARATUS AND METHOD OF** )  
**FORMING CHANNELS IN A** )  
**HEAT-EXCHANGING DEVICE** )

**REQUEST FOR REFUND (IMPROPER  
CHARGE OF DEPOSIT ACCOUNT)**

162 North Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Customer No. 28960

Attn: Refund Section, Accounting Division  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated October 13, 2005 for the above-identified patent application.

Deposit Account 08-1275 was debited \$180.00 on October 13, 2005 under fee code 1806. A information disclosure statement was filed on September 30, 2005 (see the attached return receipt postcard). The information disclosure statement was filed within the three month statutory time period. Therefore, no information disclosure statement fee should have been debited from the deposit account.

Applicants respectfully requests a credit to Deposit Account 08-1275 in the amount of \$180.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request is enclosed for this purpose.

Respectfully submitted  
HAVERSTOCK & OWENS LLP

Dated: 12-7-05

By: Thomas B. Haverstock

Thomas B. Haverstock  
Registration No.: 32,571  
Attorneys for Applicant(s)

CERTIFICATE OF MAILING (37 CFR § 1.8(a))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 12-7-05 By: Thomas B. Haverstock



**United States  
Patent and  
Trademark Office**

Deposit to:  
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[Finance Online Shopping Page](#)

**Deposit Account Statement**

**Requested Statement Month:** October 2005  
**Deposit Account Number:** 081275  
**Name:** HAVERSTOCK & OWENS LLP  
**Attention:** DANIELLE DALTON  
**Address:** 162 NORTH WOLFE ROAD  
**City:** SUNNYVALE  
**State:** CA  
**Zip:** 94086  
**Country:** UNITED STATES OF AMERICA

DATE SEQ	REF	POSTING ATTORNEY	DOCKET	FEE CODE	AMT	BAL
	TXT		NBR			

10/04 5	5454492		1558	\$105.00	\$6,037.00
10/06 3	10402296	DF-00101	1811	-\$100.00	\$6,137.00
10/11 447	76520406	WILL-00101	7004	\$150.00	\$5,987.00
10/13 1	10643684	63048	1806	\$180.00	\$5,807.00
10/13 2	10612241	COOL-01400	1805	\$180.00	\$5,627.00
10/17 13	10122244	1004-89	1811	-\$100.00	\$5,727.00
10/17 14	10112244	SONY-16100	1811	\$100.00	\$5,627.00
10/17 16	09629035	081862.P204	1811	\$100.00	\$5,527.00
10/20 804	76554864	SCI-01800	7004	\$150.00	\$5,377.00
10/24 2	11065636	SSI-05900	1463	\$200.00	\$5,177.00
10/27 26	60724523	STFO-00400	2085	\$250.00	\$4,927.00
10/27 60	09792665	NXTGP002	1462	\$400.00	\$4,527.00
10/27 61	09318520	NXTGP001D1	1462	\$400.00	\$4,127.00
10/28 124	29241268	JEO-00400	2112	\$50.00	\$4,077.00
10/28 125	29241268	JEO-00400	2312	\$65.00	\$4,012.00
10/31 1	10121791	SSI-02500	1806	\$180.00	\$3,832.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$6,142.00	\$2,510.00	\$200.00	\$3,832.00

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**COPY**

Serial No.: 10/643,684 H&O File No.: 200L-01600 By: T&H/PR  
In the Matter of The Application of: Thomas Kenny et al.  
Date Mailed: 9-30-05 Due Date: 11-9-05  
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

Design Patent Application      Pages       Form PTO-1533 (Mvt. of Missing Part(s))       Unpatented Lever       Drawing



**FOR PTO USE**

**COPY**

PATENT

Attorney Docket No.: COOL-01600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3743  
Thomas Kenny et al. ) Examiner: Terrell L. McKinnon  
Serial No.: 10/643,684 )  
Filed: August 18, 2003 )  
For: **APPARATUS AND METHOD OF** )  
**FORMING CHANNELS IN A** )  
**HEAT-EXCHANGING DEVICE** )  
Customer No. 28960

TRANSMITTAL LETTER

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

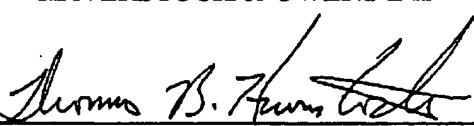
Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

*COPY*

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

By   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 9-30-05 By: 

**PATENT**  
Attorney Docket No.: COOL-01600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3743  
Thomas Kenny et al. ) Examiner: Terrell L. McKinnon  
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Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 9/30/05

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**COPY**  
**SIM**

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 9-30-05 By: Jean D. Larson

PATENT

Attorney Docket No.: COOL-01600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3743  
Thomas Kenny et al. )  
Serial No.: 10/643,684 ) Examiner: Terrell L. McKinnon  
Filed: August 18, 2003 )  
For: **APPARATUS AND METHOD OF** ) **CERTIFICATION FOR**  
**FORMING CHANNELS IN A** ) **SUPPLEMENTAL INFORMATION**  
**HEAT-EXCHANGING DEVICE** ) **DISCLOSURE STATEMENT UNDER 37**  
MS: Amendment ) **CFR § 1.97(e)**  
Commissioner for Patents ) 162 N. Wolfe Road  
P.O. Box 1450 ) Sunnyvale, CA 94086  
Alexandria, VA 22313-1450 ) (408) 530-9700

**COPY**

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement was cited within an Official Action mailed on August 9, 2005. Accordingly, I hereby certify that the reference contained within this supplemental information disclosure statement was not cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, the reference contained within this supplemental information disclosure statement was not known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

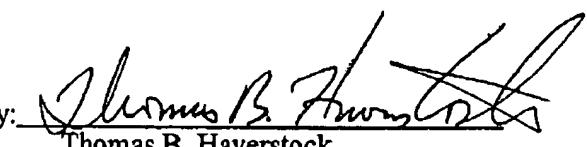
**PATENT**

Attorney Docket No.: COOL-01600

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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- 2 -

HAVERSTOCK & OWENS LLP.

Date: 9-30-05 By: John A. Lewis

**PATENT**

Attorney Docket No.: COOL-01600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thomas Kenny et al.

Serial No.: 10/643,684

Filed: August 18, 2003

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FORMING CHANNELS IN A  
HEAT-EXCHANGING DEVICE**

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

) Group Art Unit: 3743

) Examiner: Terrell L. McKinnon

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- Japanese Patent Abstract JP 1-256775.

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 1 -

HAVERSTOCK & OWENS LLP.

Date 9-30-05 By: Jean D. Russ

**PATENT**

Attorney Docket No.: COOL-01600

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

**COPY**  
dated: 9-30-05

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

FORM PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: COOL-01600	Serial No.: 10/643,684
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR 1.98(b))		Applicants: Thomas Kenny et al.	
		Filing Date: August 18, 2003	Group Art Unit: 3743

## U.S. PATENT DOCUMENTS

Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
AK	JP 1-256775	10/13/89	JP	F 25 D	9/00		X
AL							
AM							
AN							
AO							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AP	
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AV	
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AX	
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AZ	

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*10/3/05*

United States Patent and Trademark Office  
- Sales Receipt -

10/13/2005 DBREWER 00000001 081275 10643684

01 FC:1806 180.00 DA

Adjustment date: 12/29/2005 EEKUBAYI  
10/13/2005 DBREWER 00000001 081275 10643684  
01 FC:1806 180.00 CR

**ATTENTION ATTENTION ATTENTION**

**Method of Refund:**

ACH/EFT

Credit Card

Deposit Account # 08-1275

Treasury Check

Patent/TM/App/Serial # 10,643,684

Program Area Tech Center 3743

Date Processed 12-22-05

**ATTENTION ATTENTION ATTENTION**